

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

PATENT
APPLICATION

RULE 53(f) NO DECLARATION

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Atty. Dkt. P 0305777
M#

27567
Client Ref

Date: September 10, 2003

22264 U.S. PTO
10/659752
09/10/03

Sir:

1. This is a Request for filing a new Patent Application(☐ Design ☒ Utility) entitled:

2. (Complete) Title: **COMPOSITIONS AND METHODS USING SUB-PPM COMBINATIONS OF POLYQUATERNIUM-1 AND HIGH MOLECULAR WEIGHT PHMB**
without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).4. 31 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language6. 19 Numbered claim(s); and7. ☐ Drawings: sheet(s) ☐ 1 set informal; 8. ☐ formal of size: ☐ A4 ☐ 11"9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		<input type="checkbox"/> See 3 rd page for additional priorities	

11. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date)
in U.S. Application No. / filed on 12. ☐ This is a reissue of Patent No. 13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)14. ☐ **Amend the specification** by inserting before the first line -- This is a ☐ Continuation-in-Part
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:14(a) ☐ National Appln. No. / filed .- - (M#)14(b) ☐ International Appln. No. **PCT/** filed which designated the U.S., and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English.--15. ☐ **Amend the specification** by inserting before the first line: --This application claims the benefit of U.S. Provisional Application No. 60/ , filed .--16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed

17. ☐ Small Entity Status is claimed (**pre-filing confirmation required**)
- 17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)
- 17(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)

18. ☐ Prior application is assigned to

by Assignment recorded _____ Reel _____ Frame _____

19. ☐ Attached:

20. This application is made by the following named inventor(s) (**Double check instructions for accuracy.**):
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Robert		Glick
	First	Middle Initial	Family Name
Residence			
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Mailing Address			
(include Zip Code)			

(2) Inventor	Stanley		Huth
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(3) Inventor	Zhi-Jian		Yu
	First	Middle Initial	Family Name
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	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(4) Inventor	Charles	H.	Powell
	First	Middle Initial	Family Name
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(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, check box ☐
and attach sheet with same information regarding additional inventors.

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

PATENT
14628-305777

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Robert Glick, et al.	Group No.: unassigned
Serial No.: unassigned	Examiner: unassigned
Filed: September 10, 2003	
For: COMPOSITIONS AND METHODS USING SUB-PPM COMBINATIONS OF POLYQUATERNIUM-1 AND HIGH MOLECULAR WEIGHT PHMB	

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EV 235024325 US

Date of Deposit: September 10, 2003

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Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

☒ Letter of transmittal
☒ Patent application (31 pages of specification; 19 claims; 1 page of abstract)
☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Mail Stop PATENT Application
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dated: September 10, 2003



Lisa Ralph